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APPIN TVPF

08/23/2005

International Business Machines Corp. 650 Harry Road, C4TA/J2B San Jose, CA 95120-6099

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DATE DUE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/771,239	01/26/2001	Jeffrey Bruce Lotspiech	ARC920010006US1	6974

PUBLICATION FEE

TITLE OF INVENTION: METHOD FOR TRACING TRAITOR RECEIVERS IN A BROADCAST ENCRYPTION SYSTEM

ISSUE FFF

EXAMINER ART UNIT CLASS-SUBCLASS 0}/30/2005 CNGUYEN3 00000005 090441 DAVIS, ZACHARY A 2137 380-242000 01 FC:1501 1400.00 DA 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, Nat FC:1504 300.00 DA		1					(-,	1 '		
DAVIS, ZACHARY A 2137 380-242000 11 FC:1501 1400.00 DA 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/42;) attached. 21 Free Address indication (or "Fee Address" Indication form PTO/SB/42; Pree Address" indication form PTO/SB/42; Pree Address indication form PTO/SB/42; Pree Addres	nonprovisional	NO	NO \$1400		\$300	9	\$1700		11/23/2005	
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1. Change of correspondence address or indication of "fee Address" (3) Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached. 2. Pror printing on the patent front page, fist (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered patent automeys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been file recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Interntional Business Machines Corporation, Armonk, New York Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Governdance of the fee(s) is enclosed. 3. Assignee fee Publication Fee (No small entity discount permitted) A check in the amount of the fee(s) is enclosed. 3. Publication Fee (No small entity discount permitted) Advance Order - # of Copies Advance Order - # of Copies 3. The Director is hereby authorized by charge the required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s), or credit any overpaymer Deposit Account Number 09-044 in required fee(s) and or credit any overpaymer Depos	DAVIS, ZACHARY A 21		2137					.00 DA		
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been file recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Interntional? Business Machines Corporation, Armonk, New York Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government of the following fee(s) are enclosed: 4b. Payment of Fee(s): A check in the amount of the fee(s) is enclosed. Publication Fee (No small entity discount permitted) Advance Order - # of Copies	CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer			(1) the name or agents (2) the name registered 2 registere	mes of up to 3 registered OR, alternatively, ne of a single firm (having attorney or agent) and the d patent attorneys or agent	g as a member a names of up to			Rogit	Z
Interntional Business Machines Corporation, Armonk, New York Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government of Fee(s): 4b. Payment of Fee(s): A check in the amount of the fee(s) is enclosed: Payment by credit card. Form PTO-2038 is attached. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized by charge the required fee(s), or credit any overpayment opensit Account Number 09-041 (enclose an extra copy of this form). Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.					u ,, ,	ssignee is identif	ied below, the	document	has been t	îled for
4a. The following fee(s) are enclosed: 15 Issue Fee 16 Publication Fee (No small entity discount permitted) 17 A check in the amount of the fee(s) is enclosed. 18 Payment by credit card. Form PTO-2038 is attached. 19 Payment by credit card. Form PTO-2038 is attached. 20 The Director is hereby authorized by charge the required fee(s), or credit any overpaymer Deposit Account Number 09-0441 (enclose an extra copy of this form). 18 Change in Entity Status (from status indicated above) 19 A check in the amount of the fee(s) is enclosed. 20 Payment by credit card. Form PTO-2038 is attached. 21 The Director is hereby authorized by charge the required fee(s), or credit any overpaymer deposit Account Number 09-0441 (enclose an extra copy of this form).	Interntiona	l?Business Ma	achines (Corpora	ation, Armon	k, New				
a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.	a. The following fee(s) are Issue Fee Publication Fee (No s	enclosed: mall entity discount permitte	4b	Payment of I A check in Payment I	Fee(s): n the amount of the fee(s) by credit card. Form PTO-	s enclosed. 2038 is attached.		 		
nterest as shown by the records of the United States Patent and Trademark Office	a. Applicant claims SI	MALL ENTITY status. See 3	37 CFR 1.27.							arty in
Authorized Signature Date September 20, 2005 Typed or printed name John L. Rogitz Registration No. 33,549	Authorized Signature	p			Date S	eptembe	r 20, 2			

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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COMMESSIONER FOR PATENTS ALEXANDRIA, VA 22313

Docket No. <u>ARC920010006US1</u> (PATENT)

SIR:					
Transmitted herewith for filing in the Application of: <u>Jeffrey Bruce LOTSPIECH</u> Serial No.: <u>09/771,239</u>					
Title: MET	HOD FOR TRACING TRATOR RECEIVE	ER IN A BROADCAST ENCRYPTION SYSTEM			
Amendmen _ Amendmen _ Response t _ Letter to Dr _ Certificate of Acknowledge	ormal drawings at at after Final Rejection to Restriction Requirement awing Review Branch	Basic Filing Fee(\$740.00) Information Disclosure Statement Declaration and Power of Attorney Assignment of the Invention(\$40.00) Recordation Form Cover Sheet Notice to File Missing Parts(\$130.00) X_ Publication Fee (\$300) X_ Issue Fee(\$1,400)			

OTHER THAN A SMALL ENTITY	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	EXTRA	RATE	ADDITIONAL FEE
SUBTOTAL FROM ABOVE					\$1700.00
TOTAL					\$1700.00

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X The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or or any patent application processing fees under 37 CFR 1.17 in association with this communication or credit any overpayment to Deposit <u>09-0441</u>.

Respectfully submitted, Jeffrey Bruce Lotspiech	\bigcirc
John L. Rogitz, (#33,549)	
Attorney for Applicant(s)	• • • • •
750 "B" Street, Suite 3120,	San Diego, CA 92101
Telephone: (619) 338-807	5

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Signature: